



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/6

10/660,706

Confirmation No.: 7679

Applicant

: STEPHEN RICKER, et al.

Filed

: September 12, 2003

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Examiner

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Docket No.

011357.52664US

Customer No.

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Title

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97 and 1.98

## **Mail Stop Missing Parts**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

English abstracts summarizing the disclosures of the non-Englishlanguage publications are submitted herewith.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

Application No. 10/660,706 Information Disclosure Statement

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

February 4, 2004

Herbert I. Cantor

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Respectfully submitted

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Complet if Known Substitute for form 1449A/PTO Application Number 10/660,706 INFORMATION DISCLOSURE Filing Date September 12, 2003 STATEMENT BY APPLICANT First Named Inventor STEPHEN RICKER Art Unit 3682 To Be Assigned Examiner Name (use as many sheets as necessary) Attorney Docket Number 011357.52664US Sheet of

U.S. PATENT DOCUMENTS									
Examiner Initials'	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	AA	US- 2995033	08-08-1961	STIFANO, JR.					
	AB	US- 3033597	05-08-1962	MILLER					
	AC	US- 3936104	02-03-1976	BRINKMAN					
	AD	US- 3957319	05-19-1976	GORSKI					
	AE	US- 4411549	10-25-1983	SHEPPARD					
	AF	US- 4611351	09-09-1986	NAKAMURA					
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	AH	US- 4686859	08-18-1987	WALLACE	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				
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,	AL	US- 5743016	04-28-1998	MANNE ET AL.					
	АМ	US- 5779419	07-14-1998	KELLSTROEM ET AL.					

FOREIGN PATENT DOCUMENTS								
Examiner Initials'	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5</sup> (il known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6		
	AN	WO-92/19878-A2	11-12-1992	GLAZIER, STEPHEN				
	AO	FR-2619428-A1	01-16-1990	SKF GMBH		AB		
	AP	SU-1418526-A	08-28-1988	GOLUBEV		AB		
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	AR	DE-2052788-A1	05-04-1972	LOECKMANN & SOHN GMBH				
	AS	DE-1108629-B1	06-08-1961	KUGELFISCHER G SCHAEFER CO.				

Examiner	Date	
Signature	Considered	1

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandra, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.